

Webinar – Operationalizing Scale Up of IAI (RA4) under STRIVE

WEDNESDAY, 17 JUNE, 2020
1030 AM -1230 PM

Bihar, Uttarakhand, Jharkhand, Chhattisgarh, Goa,
Dadra & Daman, J&K, Ladakh, Puducherry, Chandigarh,
Delhi, Lakshadweep, Andaman, 8 NE states

Webinar Topics

- **About the Industry Apprenticeship Initiative**
- Eligibility of the Industry Cluster
- Selection Process
- IC Application Form and How to Submit
- Evaluation and role of State/UT

Q&A



STRIVE – Additionality

Participation of MSMEs in formal apprenticeship system is not encouraging, and is low as compared to potential they hold.

The reasons include :

- Lack of awareness and understanding about formal apprenticeship systems,
- Inability to offer instructional skilling as per prescribed curriculum
- Inability to hire coordination/instructional staff because of financial constraints
- Inadequacy of supporting staff and perception of apprenticeship as liability
- Above all, no business case.

STRIVE project is an initiative to empower and capacitate MSMEs to participate in formal apprenticeship system through a cluster approach

Highlights of the IAI scheme

- The IAI Scheme is based on a competitive selection process, capacity development of private stakeholders, notably industry cluster organizations and MSMEs.
- DGT is also empowered to select Industry Clusters on nomination basis
- IC selection is a two phase process – First IC application followed by IAI proposal submission. Industry cluster with strong member engagement with focus on capacity creation of members of apprenticeship engagement.
- ICs will be selected and provided with financial and capacity building support to facilitate the participation of their member companies in apprenticeship training
- IAI Gant of to establish Apprenticeship Implementation Cell (AIC) which will implement the objectives of IAI.

Highlights...

- IC will be awarded maximum fund of up to INR 1 crore for 3 years based on performance against proposed funding milestones
- The minimum duration of the apprenticeship training must be 1 year and maximum up to 2 years.
- IC must ensure at least 2 different apprenticeship programs within their participating member industries. An IAI shall cover minimum 2 and maximum 5 different apprenticeship program (trades) with at least 20 trainees enrolled under each program. The trades may fall under one or more sectors
- Apprenticeship programs under the IAI shall be market-driven, i.e. they must provide training in trades or occupational specializations that are needed by the participating members of the cluster.

Highlights...

- IC can choose both 'Designated Trades' and 'Optional Trades'. ICs are encouraged to design new trades relevant to their cluster (which are otherwise not covered under existing curriculum)
- Selection of ICs is competitive process and will be evaluated against a standard evaluation matrix. Only ICs scoring against at set marks will qualify to be recommended by States to NPIU. Following parameters are given high weightage score:
- Each Apprenticeship program under the IAI shall include both basic training as well as on the job/workplace training with a focus on engaging fresh apprentices; however, those programs may also be included where apprentices are exempted from basic training because they have attended ITI training or any other training that is considered equivalent to basic training.
- Fund disbursement to ICs is based on milestones and verification of claims.

Highlights

- An IC can combine STRIVE with NAPS and avail the benefits of the scheme.
- Apprenticeship programs administered by MHRD, Govt. of India will not be covered under STRIVE.
- The IAI grant must be implemented in accordance with the Apprentices Act, 1961, and accompanying Rules and Regulations)
- For fresher apprentices, An IC may engage/avail the services of such BTPs which are approved by RDSDE/NSDC.
- IAI grant must include measures for training and capacity building for staff (supervisors and training managers) in establishment /companies that engage apprentices under the apprenticeship training program of the IAI.

Role and Functions of Industry Cluster (IC)

- Overall responsibility for implementing the IAI scheme on behalf of its members and managing the grant funding .
- Establish Apprenticeship Initiative Cell (AIC)
- Aggregating members and Identifying training needs from the members (Identification of occupations/ trades where Apprenticeship training will take place)
- Design and implement curriculum for new apprenticeship programs and partner with potential academic partners (ITI/NSDC/Training programs etc).
- Prepare detailed IAI Plan for three years and encouraging members for creating apprentice training opportunities
- Conduct capacity building programs for its members on apprentice training
- Set up Basic training infrastructure in the cluster/ or explore partnership/Identify basic training providers (BTPs)
- Undertake Communication Outreach activities including mobilization of prospective apprentices

Role and Functions of Industry Cluster (IC)...

- Design courses (or facilitating the design) for needs-based apprenticeship if required by cluster;
- Train (or facilitating training of) company supervisors and staff members of the IC members
- Build capacity of member enterprises in the cluster to undertake apprenticeship as per the apprenticeship training plan submitted by IC;
- Encourage its members to hire women apprentices
- Ensure that the apprenticeship training being provided (through its members) is of highest quality and in line with set benchmarks/standards;
- Provide support services to those apprentices who become eligible for All India Trade Test (in case of designated trades)/or assessment (in case of optional trades) on the completion of Apprenticeship Training Program.

Funding Guidelines

- Maximum fund of up to INR 1 crore for 3 years per IC
- A tripartite “Grant agreement” between MoSDE, State and IC valid for the entire duration of project period

Milestone	Fund to be released in the milestone as % of total allocated fund	Fund Release Criteria
1	40%	Signing of Tri-Partite Agreement
2	20%	Minimum utilization of 50% of funds released in Milestone 1
3	30%	100% utilization of funds at milestone 1 and minimum 25% of funds utilised in milestone 2
4	10%	A minimum of 50% of planned apprentices must be either engaged in apprenticeship training or, have appeared for the trade test

- State Apprenticeship Monitoring Cell will monitor the performance of IC

KPIs and Milestones for ICs

#	KPIs
1	Signing of 'Tripartite Grant Agreement' between Government of India,(First Party) State Government (Second Party) and Industry Cluster(Third Party)
2	Capacity development of staff of participating enterprises and other stakeholders
3	Signing of apprenticeship contracts between employer/Industry and apprentices as stated in IAI proposal
4	Submission of project completion report

#	% of total	Milestone	Disbursement Protocol
1	40%	Signing of grant agreement	Upon signing
2	20%*	Percentage of staff (IC member enterprise/AIC/BTP/other partners of IC) who have undergone capacity development programs	Upon achievement of consolidated 3-year target or on achievement of actual result on a proportionate basis (Proportionate release permissible only once during the project period, unclaimed proportion may be claimed at the end of the project)
3	30%*	Percentage of estimated trainees with whom apprenticeship contracts have been signed	Upon achievement of consolidated 3-year target, or on achievement of actual result on a proportionate basis (Proportionate release permissible only once during the project period, unclaimed proportion may be claimed at the end of the project)
4	10%	End of Project report	Upon submission of end of project report. (The remaining proportion of funds for milestone 2 and 3 which has been achieved and not claimed, can be claimed along with submission of the End of Project Report.)

Note: KPI data will be verified through SAMC of the State concerned based on real time apprentice data from the relevant portal (DGT apprenticeship portal for designated trades and NSDC apprenticeship portal for Optional Trades).

*balance outstanding amount from disbursement head 2 and 3, in table above, once targets have been achieved shall be released in final disbursement

Eligible and Non-Eligible Expenditures for ICs (indicative)

Category	Eligible expenditure	Non-eligible expenditure
Apprenticeship Program Development & Preparation Costs	Costs related to the development and preparation of the apprenticeship program. This includes: <ul style="list-style-type: none"> Hiring of consultant or other costs to develop proposal, conduct needs assessments and curriculum development, if needed (for both the curriculum of basic training and industry-based training). Costs related to the development of teaching and learning material, if needed 	<ul style="list-style-type: none"> Purchase of land/buildings and construction of new buildings. Major civil works in the existing infrastructure Purchase of laptops and other electronic gadgets including smartphones, however desktop computers required for office work is permissible. Purchase of any vehicle, Purchase of any furniture and fixture item exceeding INR 25000 per unit
Capacity Development Costs	This includes costs for conducting training of staff in companies involved in apprenticeship training (managers, supervisors), training of AIC staff and teachers of participating basic training providers, technical workshops on IAI/apprenticeship etc.	
Apprenticeship Training Costs	The eligible expenditures to be considered for utilization of funds under Training Costs include: <ul style="list-style-type: none"> Costs for tools and other basic assets (e.g. protective clothing, helmets, work place equipment) to be used by apprentices while in industry-based training Equipment for BTP up to 10 lakhs (exception to be justified by Governing Committee of an IC and duly approved by respective SAMC) Minor refurbishment and repair work of existing infrastructure in BTPs run by ICs (up to INR 5 lakhs) Group Insurance for apprentices Costs related to assessment and certification of apprentices in terms of Optional trade Cost for transport of apprentices 	
Organization & Facilitation (IC and AIC Costs):	This includes costs related to the operations of an AIC in the IC. Eligible expenditure includes salaries of AIC officers and support staff communication, local tours, hoisting of a dedicated website, audit fees, office expenses, including computer, printer and projector, hiring of vehicle etc.	
Communication and Outreach Costs	This would include costs relating to attracting and inducting apprenticeship trainees into the industry led apprenticeship program. Eligible expenditure would include: workshops, advertisements, participating in job fairs, recruitment drives in schools, colleges and other as approved in the cluster proposal.	

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Eligibility of Industry Cluster

Eligibility of Industry Cluster to participate in Industry Apprenticeship Initiative

Based on the Section 3.4.3 of the STRIVE operational manual approved by NSC on 4th November 2019

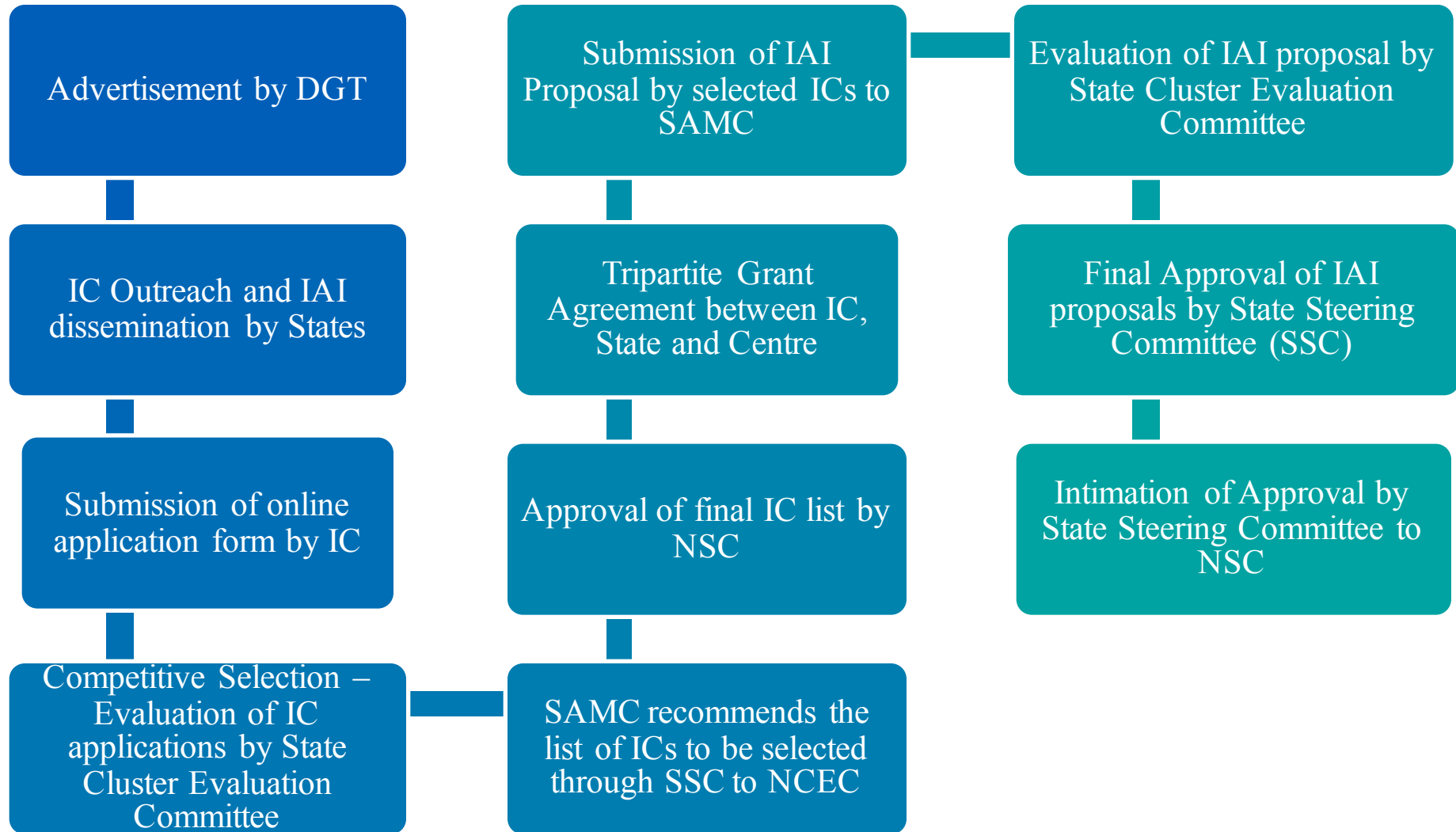
1. Industry Cluster (IC) must be an industry association formally registered either as a 'society' under relevant Societies Registration Act or trust or an organization under Section 8 Company and have the legal capacity to sign Tripartite Agreements/contracts on behalf of its members
2. Minimum Number of member enterprises consenting to participate with IC for implementing IAI grant must be 20 irrespective of the size of the cluster.
3. Minimum apprenticeship seats available for IAI implementation in the cluster as per the Apprenticeship Act/Norms must be 40.
4. Minimum 50% of the participating members should be from MSME category (as per MSME definition of Govt. of India)

Note – Eligibility is subject to change based on the implementation learnings. Refer DGT website for any new updates/addendum.

Mobilizing Industry Clusters # Indicative Sources

- Industry Associations (Sectorial, regional) having establishments as direct members with active secretariat serving its members
- Industrial Areas/Zones/Estates/SEZ – representative associations
- Coordinate with State Industry Promotion Corporation, Dept of Industry, SME etc
- List of clusters supported by other ministries such as - Ministry of textile etc have listed clusters etc

Industry Cluster Selection Process



Important Time lines

Date of release of advertisement by DGT – 21 May 2020 <https://dgt.gov.in/what-s-new>

Last Date of Submitting IC application Forms – 30th July

Directorate General Of Training

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S.No	Title	Details	Publish Date
1	CITS Admission Notice 2020	Download	15/06/20
2	Use of updated NCVTMIS portal data for Grading of ITIs in Phase II	Download	28/05/20
3	Advertisement - Call for inviting applications from eligible Industry Clusters to participate in Scale up phase of Industry Apprenticeship Initiative under World Bank assisted STRIVE project	Download	21/05/20

Important web links

All details related to IAI scale up phase

<https://dgt.gov.in/Strive>

Video Tutorial (Selection Process)

https://www.youtube.com/watch?v=Z-_soOIw5No&feature=youtu.be

Video Tutorial (detailed) – How to fill and submit IC application form

<https://www.youtube.com/watch?v=nGDx6wXpK8I>

Application forms and Templates

<https://dgt.gov.in/download-guidelines-strive>

Video Tutorial (IC Evaluation)

<https://www.youtube.com/watch?v=9qjUPixmIO0&feature=youtu.be>

Addendum related to RA4 of STRIVE (Changes in the Operational Manual)

https://dgt.gov.in/sites/default/files/Addendum_to_OM_for_STRIVE_1.pdf

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Readiness of State/UT

Empowered SAMC with adequate staff is critical for IAI popularization, IC mobilization and Evaluation through State Cluster Evaluation Committee (SCEC)

Constitution and Conveying of State Cluster Evaluation Committee.	States shall establish SAMC, constitute SCEC. SAMC will undertake training of SCEC members on evaluation exercise, conveying SCEC meetings and coordinate with State Steering Committee, NPIU, ICs for evaluation outcomes
Enquiry handling and IC coordination	SAMCs shall make necessary arrangements to appoint dedicated one-point contact to clarify submission related clarifications from ICs.
Collation and Tabulation of IC applications	The evaluation sheets signed by each SCEC member in hard copies with minutes shall be documented by SAMC.

State Cluster Evaluation Committee

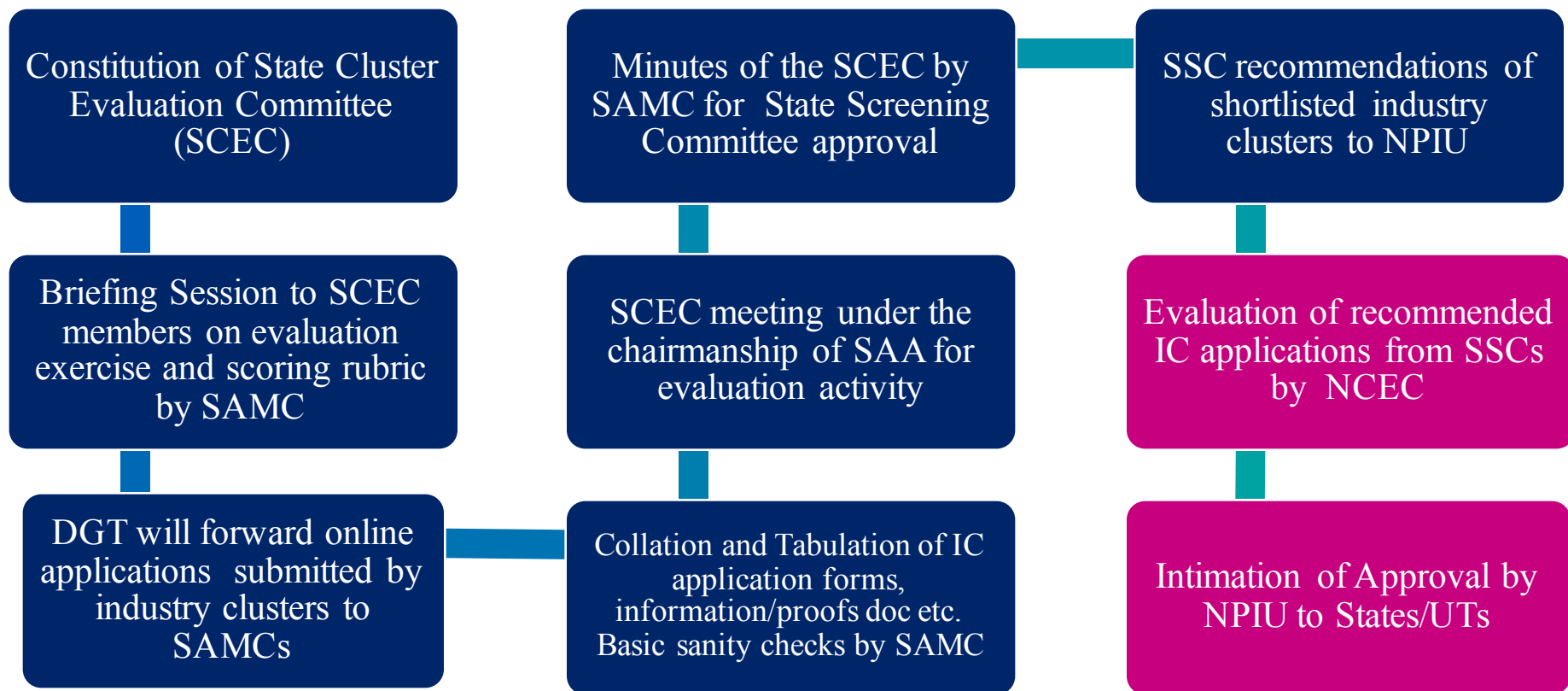
State Cluster Evaluation Committee (SCEC) will be responsible for evaluation of IC Application and IAI Proposals under Result Area 4 of STRIVE.

The State Cluster Evaluation Committee (refer addendum issued by DGT on 14th Feb 2020) headed by SAA shall comprise of representatives from:

- SAMC,
- RDSDE concerned,
- NSDC SEO of the State concerned,
- Representative from SSDM and
- Industrialists from private sector (by submitting a disclaimer that he/she has no business relation with participating members of ICs).

Note: The States are empowered to add/delete members of this SCEC as deemed fit under intimation to NPIU.

Organizing Evaluation Activity



■ State/SAMC Activity
■ NPIU Activity

Responsibilities of States in IC Selection and Monitoring (1/2)

#	Activity Description
1	States through SAMC to constitute State Cluster Evaluation Committee (SCEC)
2	Outreach and Dissemination activity with potential industry clusters on IAI in the state.
3	Training to State Cluster Evaluation Committee meeting members on evaluation exercise
4	Convene SCEC meeting to scrutinize all IC applications and recommend the qualified ICs to National cluster Evaluation Committee (housed at NPIU) for approval.
5	Notification of Selection/Rejection to ICs, Directorate General of Training (DGT), NSDC SEO and RDSDE
6	Tripartite Agreement signing with IC & MSDE. Head of State Directorate dealing with Apprenticeship Training Scheme (Principal Secretary/ Secretary/ Commissioner) is the signatory from state.
7	Provide technical assistance and handholding support to selected IC in preparing IAI proposal
8	SAMC to Conduct Screening process of detailed IAI proposal submitted by ICs, assess its relevance and feasibility.
9	Coordination with State Steering Committee for Approval of IAI proposal
10	Verification and review of the milestone claims made by IC and instructions to department for Release of funds to ICs as per the achieved milestones

Responsibilities of States in IC Selection and Monitoring (2/2)

#	Activity Description
11	Providing Handholding support to IC on apprenticeship portal, trade selection, training design etc. as per the approved IAI plan.
12	Facilitate and coordinate with apprentice contract signing authority - State Apprenticeship Advisor (for designated trades) and NSDC /SSDM for optional trades.
13	Review of the IAI plan for 2nd and 3rd Year of the IC
14	Review of Capacity Building activity and Procurement plan
15	Verification of Annual Completion Report of ICs along with recommendations to be sent to NPIU/DGT
16	Organize training and outreach workshops/seminars
17	Setup Grievance Redressal mechanism
18	Collection and review of reports detailed in performance and achievement report (Annexure 20C) and IAI implementation update (Annexure 39)
19	The consolidated UC of all Industry Clusters under the project within the State shall be submitted by SAMC/ State government

Changes introduced in the OM based on pilot

- 1. An evaluation matrix is introduced for National Evaluation Cluster Committee**
- 2. Selection stages are reduced. States are empowered to assess and evaluate the IAI, step of NCEC approval for IAI proposals is not required.**
- 3. Operational Manual changed and following Annexs are revised:**
 - Annexure 14 (IC Application form)
 - Annexure 15 (SCEC Evaluation Sheet)
 - Annexures 17 (IAI proposal form)
 - Annexure 18 (IAI Evaluation sheet)
- 4. Apart from evaluation of IC, readiness of state will also be factored in the NCEC evaluation.**
- 5. While the selection is competitive based, NPIU is empowered by NSC for nomination based selection.**
- 6. Interpretation between “Industry Cluster” and Industry Association” is simplified in the IC eligibility.**